

**Know Your Client Form 2**  
(Application Form for Corporate Entity Only)

Application No:.....



**Stock Brokers**  
*Maldives*

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In an effort to serve you (our client) better, we would like to know the following about you to enable us help you make informed investment decisions. The information provided will be kept private and confidential. Please fill this form (All information is applicable from section A from 1-13 is mandatory)

**A. Applicant's Information**

1. Name of the Applicant Company/Partnerships/NGO (As appearing in Certificate of registration)

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2. Date of Incorporation/ Registration: dd/mm/year

3. Country of Registration (please tick)  Maldives  Others, Please specify -----

4. Registration No: -----

5. Tax Number (if any):- -----

6. Address

i. Business address of Correspondence

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State..... Country..... Postal Code

Tel (Office) ----- Tel (Home) -----

Fax:----- E-mail -----

Website -----

ii. Registered Address: -----

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State..... Country..... Postal Code

i. Contact Details:

Tel (Office) ----- Tel (Home) -----

Fax:----- E-mail -----  
Website -----

iii. Proof of present address to be provided by applicant. Please provide any one of following latest documents and tick the attached document

Latest land Line Tel Bill  Latest Electricity Bill  Latest Water Bill Latest  Bank Pass Book   
Latest Bank Account Statement  Latest MSD Account Statement  Rental Agreement of residence   
Any other proof of current address

7. Turnover  
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8. Authorized Share Capital of the business -----

9. Paid up share capital -----

10. Nature of the Company

Public Limited (Listed/ Unlisted)  Private Limited

Type of Business -----

11. Bank Account Details

- Type of Account : -----
- Account name : -----
- Account number: -----
- Bank name: -----

11. Security Deposit Account no:-----

9. What investment time horizon is most appropriate for your investment programme?

- Less than 1 year
- 1yr – 3yrs
- 4yrs – 10yrs
- More than 10 yrs

10. What is the projected use of the money in your investment programme?

(Rank the following according to order of importance on scale of 1 to 5, with 1 being the most important)

- (1) To fund the mergers and acquisition.....
- (2) To build wealth.....
- (3) Diversify into new market segments
- (3) Other (please explain).....

11. What is your overall knowledge of investment?

- Low: We have very little investment experience outside of bank savings
- Medium: We have some experience investing in shares and bonds
- High: We have been an active investor in the stock market

12. What is your investment objective?

Different types of investments generate returns in different ways. Aggressive investments such as shares tend to generate returns through dividend payments and capital gains. How would you characterize your investment objective for this portfolio?

- Emphasis on income generating investments
- Emphasis on income generating investment and some capital growth

13. What is your attitude towards risks?

The process of investing requires careful consideration of risk. There is a trade-off between the risk associated with an investment and its expected return over time. Typically, one must endure greater risk in order to pursue higher returns. Each investor has different attitude towards risks. Which statement describes your attitude towards risks?

- We cannot accept any loss of principal
- Conservative: We are more concerned with preserving the value of our account then maximizing capital growth, and can tolerate some decline in value through market cycle
- Moderate: We are comfortable with fluctuations in my portfolio, and the possibility of large declines in value, in order to grow our portfolio over time.
- Aggressive: We are comfortable taking on high levels if risk, and the possibility of large fluctuations and substantial declines in the value of our portfolio, in pursuit of higher level of appreciation in my portfolio over time.

14. Is There any additional information that we need to know about your company?

15. Settlement Instructions

Cheques

- Collected by client
- Account Transfer

I hereby declare that the information given in this application is true and correct.

Name of Authorized Person:..... Signature.....

Date:.....

*Note: - ( Authorized person should submit the **Agent Form** along with the corporate form)*

Witnesses

1. Name:.....	Name:.....
Address:.....	Address:.....
.....	.....
.....	.....

Signature:.....	Signature:.....
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FOR OFFICE USE ONLY  
ALL RELEVANT DOCUMENTS ATTACHED  
[YES / NO]

Introduced By.....

Approved By.....

Designation.....

Date.....

Signature.....

**Check List**

Please ensure the following while submitting KYC application form

- KYC form is complete
- KYC form is signed by the applicant as well as witnesses
- Copy of Certificate of Incorporation, MOA, AOA
- Certificate of Registration of NGO
- Board Resolution stating the appointment of an agent to represent the company and a statement giving approval to deal with the brokerage firm.
- Power of Attorney
- Signature with KYC must match with that on the identity proof submitted
- Address mention in the form is matching with the address proof submitted
- Shareholding details of the partners and shareholder who acquires more than 5 percent of the total company's capital.
- Agent Form